



Express Mail No.: EL 236 252 681 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Kozikowski *et al.*

Serial No.: 09/246,307

Group Art Unit: 1614

Filed: February 8, 1999

Examiner: Not Assigned

For: CYCLIC DIPEPTIDES AND  
AZETIDINONE COMPOUNDS AND THEIR  
USE IN TREATING CNS INJURY AND  
NEURODEGENERATIVE DISORDERS

Attorney Docket No.:  
9328-009-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
FILING DATE GRANTED**

**BOX: Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on February 25, 1999, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration and Power of Attorney signed by the inventors in compliance with 37 CFR 1.63; and
2. Return copy of Notice to file Missing Parts of Application - Filing Date Granted.

Applicants also submit herewith the following documents for the above-identified application:

3. A copy of the Joint Assignment signed by the inventors and Recordation Form Cover Sheet Patents Only; and
4. A Petition for Extension of Time (1 month).

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150:

1. The statutory basic filing fee	\$ 760.00
2. Extra fee for 2 independent claims (in excess of 3)	\$ 156.00
3. Extra fee for 52 dependent claims (in excess of 20)	\$ 936.00
4. Surcharge Fee for filing declaration on a date later than the filing date of the application.	<u>\$ 130.00</u>
<b>TOTAL</b>	<b>\$1982.00</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150.

The documents submitted complete the filing of the above patent application and justify the filing date of February 8, 1999. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Dated: May 25, 1999

*Samuel B. Abrams* / *by Brett Lovejoy*  
 42,813  
 30,605  
 Samuel B. Abrams (Reg. No.)

**PENNIE & EDMONDS LLP**  
 1155 Avenue of the Americas  
 New York, New York 10036-2711  
 (212) 790-9090

Enclosures



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/246,307 02/08/99 KOZIKOWSKI A 9928-0009-99

020582

0222/0225

FENNIE AND EDMONDS

NOT ASSIGNED

1667 K STREET NW

SUITE 1000

WASHINGTON, DC 20006

DATE MAILED:

02/25/99

6512 2023

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1982.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 936 for 52 total claims over 20.

\$ 156 for 2 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**